

Moduli Spaces of Rank 3-stable Vector Bundles on Hirzebruch Surfaces with $c_1 = \sigma$ ¹

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Abstract

We study the nonemptiness, the irreducibility, the smoothness and the unirationality of the moduli spaces of rank 3 stable vector bundles on a Hirzebruch surface with $c_1 = \sigma$.

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1. Introduction

In [1] Li and Qin show that on a rational ruled surface X , the moduli space of vector bundles stable with respect to some suitable ample divisor is irreducible and unirational whenever the moduli space is nonempty. Moreover, it is proved in [1] that every irreducible component of an arbitrary moduli space is unirational. Based on these facts, in [2] is studied whether an arbitrary moduli space of rank-3 stable bundles is irreducible.

The idea was the following one:

We consider a suitable ample divisor L_0 on X such that the moduli space of L_0 -stable bundles is irreducible and unirational whenever it is nonempty; then, for an arbitrary ample divisor L on X , we take the moduli space of rank-3 bundles stable with respect to L . We compare the two moduli spaces of rank-3 bundles stable with respect to L_0 and L respectively by estimating the number of moduli of rank-3 vector bundles in their difference. From this estimate, we can conclude about the irreducibility and unirationality of the moduli space of rank-3 bundles stable with respect to L .

In [2] is analyzed the case of the moduli spaces of rank-3 vector bundles with the first Chern class $c_1 = 0$ and $c_1 = f + \sigma$. In this paper, following the approach from [2], we discuss the cases $c_1 = \sigma$.

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2. Stability conditions for rank-3 vector bundles

Let X be a rational ruled surface with the ruling $\pi : X \rightarrow \mathbb{P}^1$, f a fiber of π , and σ a section to π such that $\sigma^2 = -e$. We denote by $K_X = -2\sigma - (2+e)f$ the canonical divisor of X . If $L = x\sigma + yf$ is an ample divisor, we note $r_L = \frac{y}{x}$.

Let c_1 be a divisor on X and c_2 an integer such that:

$$3c_2 - c_1^2 > 0,$$

and let \mathcal{C}_1 and \mathcal{C}_2 be two adjacent chambers of type $(3, c_1, c_2)$.

Let be V a rank-3 vector bundle L_1 stable (with $L_1 \in \mathcal{C}_1$), but L_2 unstable ($L_2 \in \mathcal{C}_2$) and the Harder-Narasimhan filtration of V with respect of L_2 given by:

$$0 \subset V_1 \subset \dots \subset V_k \subset V.$$

From [2], we see that one of the next cases must happend:

1. $k = 1, F = c_1(V_1)$ and $i = \text{rank}(V_1) = 1$;
2. $k = 1, F = c_1(V_1)$ and $i = \text{rank}(V_1) = 2$;
3. $k = 2, F = c_1(V_2)$ and $i = \text{rank}(V_2) = 2$;
4. $k = 2, F = c_1(V_1)$ and $i = \text{rank}(V_1) = 1$.

Moreover, from [2] we know that for every rank-3 vector bundle L_1 -stable and L_2 -unstable, there exists an integer $0 < i < 3$ and a divisor F such that:

1. $(3F - ic_1) \cdot L_1 < 0 < (3F - ic_1) \cdot L_2$;
2. $-4 \cdot (3c_2 - c_1^2) \leq (3F - ic_1)^2 < 0$

By [2] we have the next result:

Theorem 2.1(see [2]) *Let $\xi = (3F - c_1)$ a nonempty wall of type $(3; c_1, c_2)$ and let \mathcal{C} be a chamber of type $(3; c_1, c_2)$ such that it's closure $\bar{\mathcal{C}}$ intersects with W^ξ and that $L_1 \cdot \xi < 0$ for $L_1 \in \mathcal{C}$. Let L_0 be an ample divisor contained in $(\bar{\mathcal{C}} \cap W^\xi)$. Assume that V is a rank-3 bundle given by the nontrivial extension*

$$0 \rightarrow \mathcal{O}_X(F) \rightarrow V \rightarrow V' \rightarrow 0,$$

where V' is L_0 -semistable such that no L_0 -destabilizing rank-1 subsheaf can be lifted to a subsheaf of V and V has the Chern class $c_1(V) = c_1$ and $c_2(V) = c_2$. If $c_1 \not\equiv 0 \pmod{3}$ and if V' is L_1 -semistable, then V is L_1 -stable.

3. The first case

Let $V_1 = \mathcal{O}_X(F)$ and let $W = V/V_1$. By Proposition 1.2-[2], W is torsion free and L_2 semistable. We shall estimate the number of moduli of those V 's coming from the next exact sequences:

$$0 \rightarrow \mathcal{O}_X(F) \rightarrow V \rightarrow W \rightarrow 0 \tag{1}$$

and

$$0 \longrightarrow W \longrightarrow W^{**} \longrightarrow Q \longrightarrow 0, \quad (2)$$

where Q is a sheaf supported on some 0-cycles in X . We have the next result from [2]:

Proposition 3.1(see [2]) *Fix a divisor F . Let $\xi = 3F - c_1$, and let*

$$d_\xi(c_1, c_2) = -\frac{3c_2 - c_1^2}{3} + 2 + \frac{\xi^2}{6} + \frac{K_X \cdot \xi}{2}.$$

Then, $\#(\text{moduli of } V) \leq (6c_2 - 2c_1^2 - 8) + d_\xi(c_1, c_2)$.

Lemma 3.2 *Let $\xi = (3F - c_1)$.*

If $c_1 = \sigma$ then $d_\xi(c_1, c_2) \leq 0$. Moreover, $d_\xi(c_1, c_2) = 0$ if and only if $\xi = 2\sigma - 3c_2f$.

Proof. Let $\xi = x\sigma - yf$. The inequality $\xi L_1 < 0 < \xi L_2$ implies that x and y have the same sign and none of them are zero. We are now able to evaluate the expression of

$$d_\xi(c_1, c_2) = -\frac{3c_2 - c_1^2}{3} + 2 + \frac{-x(ex - 3e + 6) - 2y(x - 3)}{6}.$$

Since $c_1 = \sigma$, $F = \frac{x+1}{3}\sigma - \frac{y}{3}f$ and we obtain $x \equiv 2[3]$ and $y \equiv 0[3]$. Let suppose that $x > 0$. This implies that $x \geq 2$ and $y > 0$. For $x \geq 5$, it's obvious that $d_\xi(c_1, c_2) < 0$.

If $x = 2$, then $d_\xi(c_1, c_2) = \frac{(e+y)-(3c_2-c_1^2)}{3}$.

Since $-4(3c_2 - c_1^2) \leq (3F - ic_1)^2 = \xi^2 = (x\sigma - yf)^2 = -4(e + y)$, we have $d_\xi(c_1, c_2) \leq 0$ with equality if and only if $x = 2$ and $y = 3c_2$, and so $\xi = 2\sigma - 3c_2f$. If $x < 0$, then $x \leq -1$ and $y \leq -3$.

If $e \geq 1$, then $d_\xi(c_1, c_2) < 0$.

If $e = 0$, then

$$d_\xi(c_1, c_2) = -\frac{3c_2 - c_1^2}{3} + 2 + \frac{-6x - 2y(x - 3)}{6}.$$

Since $y \leq -3$, we always have

$$\frac{-6x - 2y(x - 3)}{6} \leq \frac{-6x + 6(x - 3)}{6} < -3,$$

and so

$$d_\xi(c_1, c_2) \leq -1.$$

Remark 3.3 Let $c_1 = \sigma$ and $\xi = 2\sigma - 3c_2f$. Since $\xi = 3F - c_1 \implies$

$$F = \sigma - c_2f.$$

Since from the first exact sequence (1) we have the relations

$$c_1(V) = \mathcal{O}_X(F) + c_1(W) \quad \text{and} \quad c_2 = Fc_1(W) + c_2(W),$$

it follows $c_1(W) = c_2f$ and $c_2(W) = 0$.

By the second exact sequence (2) we get $c_2(W^{**}) + l(Q) = c_2(W) = 0$. Since W^{**} is L_2 semistable it results $c_2(W^{**}) \geq 0$, $c_2(W^{**}) = l(Q) = 0$ and W locally free. By the results in [4], W cannot be stable since $t = \frac{[4c_2(W) - c_1(W)^2]}{4} = 0$. In conclusion, W is L_2 -semistable.

As in the proof of Lemma 2.2 from [2], W sits in the exact sequence

$$0 \longrightarrow \mathcal{O}_X\left(\frac{c_2f}{2}\right) \longrightarrow W \longrightarrow \mathcal{O}_X\left(\frac{c_2f}{2}\right) \longrightarrow 0.$$

Since $Ext^1(\mathcal{O}_X(\frac{c_2f}{2}), \mathcal{O}_X(\frac{c_2f}{2})) = 0$ we obtain $W = W^{**} = \mathcal{O}_X(\frac{c_2f}{2}) \oplus \mathcal{O}_X(\frac{c_2f}{2})$. Thus, we can conclude that V sits in an exact sequence of type:

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2f) \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2f}{2}\right) \oplus \mathcal{O}_X\left(\frac{c_2f}{2}\right) \longrightarrow 0$$

Conversely, if V is the bundle corresponding to an extension $e = (e_1, e_2)$ in

$$Ext^1(\mathcal{O}_X(\frac{c_2f}{2})^2, \mathcal{O}_X(\sigma - c_2f)) \cong H^1(X, \mathcal{O}(\sigma - \frac{3c_2f}{2}))^{\oplus 2},$$

and according to Proposition 1.6 from [2] if both $e_i \neq 0$, then V is L' -stable where L' is contained in the chamber whose upper wall is W^ξ and all this V 's are parametrized by a smooth unirational variety of dimension $(6c_2 - 2c_1^2 - 8)$.

4. *The second case*

In this section we estimate the number of moduli of those rank-3 vector bundles V 's with $c_1 = \sigma$ which satisfy case 2). We remind now the hypothesis of section 2.3 from [2]:

Let be V a rank-3 vector bundle given by the exact sequence:

$$0 \longrightarrow V_1 \longrightarrow V \longrightarrow \mathcal{O}_X(c_1 - F) \otimes I_Z, \quad (3)$$

where V_1 is a L_2 semistable rank-2 bundle. Dualizing the above sequence, we have:

$$0 \longrightarrow \mathcal{O}_X(F - c_1) \longrightarrow V^* \longrightarrow W \longrightarrow 0 \quad (4)$$

where W is torsion free and $W^* = V_1$. Since V^* is L_1 stable but L_2 unstable, the Harder-Narasimhan filtration of V^* with respect of L_2 is

$$0 \subset \mathcal{O}_X(F - c_1) \subset V^*.$$

In this case, $c_1(V^*) = -c_1$ and $c_2(V^*) = c_2$. V^* is now in the same hypothesis as the above section, so applying Proposition 3.1 we get:

$$\xi = 3(F - c_1) - (-c_1) = 3F - 2c_1$$

and

$$\#(\text{moduli of } V) = \#(\text{moduli of } V^*) \leq (6c_2 - c_1^2 - 8) + d_\xi(-c_1, c_2).$$

Lemma 4.1 *Let $\xi = 3F - 2c_1$. If $c_1 = \sigma$, then $d_\xi(-c_1, c_2) \leq 0$. Moreover $d_\xi(-c_1, c_2) = 0$ if and only if $\xi = \sigma - \frac{3c_2-3}{2}f$.*

Proof. Let $\xi = 3F - 2c_1 = x\sigma - yf$. From the same argument as in the above lemma x and y have the same sign and cannot be zero. By Proposition 3.1,

$$d_\xi(-c_1, c_2) = -c_2 + \frac{6 - 3x - e}{3} + \frac{(xe + 2y)(3 - x)}{6}.$$

If $c_1 = \sigma$, then

$$F = \frac{x+2}{3}\sigma - \frac{y}{3}f, \text{ and } x \equiv 1[3], y \equiv 0[3].$$

If $x \geq 3$, then $d_\xi(-c_1, c_2) \leq 0$. If $x < 3$, then $x = 1$ or $x < -2$.

Let $x = 1$. Then $d_\xi(-c_1, c_2) = -c_2 + \frac{2y+3}{3}$. Recall that $c_1(V_1) = F = \sigma - \frac{y}{3}f$ and from the exact sequence that gave us V we have the relation

$$c_2(V_1) \leq c_2 - F(c_1 - F) = c_2 - \frac{y}{3}.$$

By Lemma 1.10 in [4], since V_1 is L_2 stable, we have:

$$r_{L_2} \leq e + 2c_2(V_1) + e + \frac{y}{3} \leq 2(c_2 - \frac{y}{3}) + e + \frac{y}{3} = 2c_2 + e - \frac{y}{3}.$$

Since $\xi \cdot L_2 > 0$, it results that $r_{L_2} > e + y$. From the inequality

$$e + y < r_{L_2} < e + 2c_2 - \frac{y}{3}$$

we obtain that

$$\frac{2y}{3} < c_2,$$

and since $y \equiv 0[3]$ and $y > 0$, we get $d_\xi(-c_1, c_2) = -c_2 + \frac{2y}{3} + 1 < 1$ unless possibly $y = \frac{3c_2-3}{2}$, and thus

$$\xi = \sigma - \frac{3c_2-3}{2}f.$$

If $x \leq 0$, then $x \leq -2$ and $y \leq -3$. Since

$$d_\xi(-c_1, c_2) = -\frac{3c_2 - c_1^2}{3} + (2 + y) + \frac{x(3e - 6 - ex - 2y)}{6},$$

we get $d_\xi(-c_1, c_2) < 0$ if $e > 1$ and $d_\xi(-c_1, c_2) \leq -1$ if $e = 0$.

Remark 4.2 Let $c_1 = \sigma$ and $\xi = \sigma - \frac{3(c_1-1)}{2}f$. Since $\xi = 3F - 2c_1$, it results that

$$F = c_1(V_1) = \sigma + \frac{1 - c_2}{2}f.$$

From the above proof, we obtain

$$e + \frac{3(c_2 - 1)}{2} = e + y < r_{L_2} \leq e + 2c_2(V_1) + \frac{y}{3},$$

$$\text{and so } \frac{y}{3} < c_2(V_1).$$

$$\text{Thus } \frac{c_2 - 1}{2} < c_2(V_1) \iff \frac{c_2 - 1}{2} + 1 \leq c_2(V_1) \iff \frac{c_2 + 1}{2} \leq c_2(V_1).$$

From the first exact sequence in the set up it follows that

$$c_2(V_1) + l(Z) = c_2 - F(c_1 - F) \implies$$

$$c_2(V_1) + l(Z) = \frac{c_2 + 1}{2}.$$

Therefore, from both relations we obtain $c_2(V_1) = \frac{c_2+1}{2}$ and $l(Z) = 0$, this implies $Z = \emptyset$. Thus V sits in the exact sequence:

$$0 \longrightarrow V_1 \longrightarrow V \longrightarrow \mathcal{O}_X(c_1 - F) \longrightarrow 0,$$

and so,

$$0 \longrightarrow V_1 \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2 - 1}{2}\right) \longrightarrow 0 \quad (5)$$

Remember that V_1 is L_2 semistable and

$$e + \frac{3(c_2 - 1)}{2} < r_{L_2} \leq e + \frac{2(c_2 + 1)}{2} + \frac{3(c_2 - 1)}{2} \iff$$

$$e + \frac{3(c_2 - 1)}{2} < r_{L_2} \leq e + \frac{5c_2 - 1}{2}.$$

As in the proof of Proposition 3.4 in [4] and Remark 2.12 in [2], we verify that V_1 sits in the exact sequence

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2f) \longrightarrow V_1 \longrightarrow \mathcal{O}_X\left(\frac{1 + c_2}{2}f\right) \implies 0 \quad (6)$$

According to [4], V_1 sits in an exact sequence of the type:

$$0 \longrightarrow \mathcal{O}_X(G) \longrightarrow V_1 \longrightarrow \mathcal{O}_X(c_1(V_1) - G) \implies 0, \quad (7)$$

where

$$2G = c_1(V_1) + \zeta \text{ and } \zeta = 2c_1(V_1) - 2c_2(V_1)f. \quad (\star).$$

Doing the substitutions in (\star) , it follows

$$\zeta = \sigma - \frac{3c_2 + 1}{2} \text{ and } G = \sigma - c_2f,$$

and so the conclusion.

Now, we verify that V sits in the exact sequence

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2f) \longrightarrow V_1 \longrightarrow \mathcal{O}_X\left(\frac{c_2 - 1}{2}f\right) \oplus \mathcal{O}_X\left(\frac{c_2 + 1}{2}f\right) \longrightarrow 0 \quad (8)$$

Since

$$V_1/\mathcal{O}_X(\sigma - c_2f) = \mathcal{O}_X\left(\frac{1 + c_2}{2}f\right)$$

and

$$V/V_1 = \mathcal{O}_X\left(\frac{c_2 - 1}{2}f\right)$$

we have the next sequence:

$$0 \longrightarrow \mathcal{O}_X\left(\frac{1 + c_2}{2}f\right) \longrightarrow V/\mathcal{O}_X(\sigma - c_2f) \longrightarrow \mathcal{O}_X\left(\frac{c_2 - 1}{2}f\right) \longrightarrow 0.$$

Note that since $Ext^1(\mathcal{O}_X(\frac{c_2-1}{2}f), \mathcal{O}_X(\frac{c_2+1}{2}f)) = 0$, the above extension splits. Therefore,

$$V/\mathcal{O}_X(\sigma - c_2f) = \mathcal{O}_X\left(\frac{c_2 - 1}{2}f\right) \oplus \mathcal{O}_X\left(\frac{c_2 + 1}{2}f\right),$$

and our conclusion follows.

Proposition 4.3 *Let c_2 be odd. If $c_1 = \sigma$, then for generic bundles V_1 in (6), generic extensions V in (5) are L' stable, where L' is any ample divisor contained in the chamber whose upper wall is $W(\sigma - \frac{3(c_2-1)}{2}f)$. Moreover, all such V 's are parametrized by a smooth rational variety of dimension $(6c_2 - 2c_1^2 - 8)$.*

Proof. If $\xi = \sigma - \frac{3(c_2-1)}{2}$, then by Theorem B in [4], for c_2 fixed, all nonempty moduli spaces $\mathcal{M}_L(2, \sigma + \frac{1-c_2}{2}f, \frac{c_2+1}{2})$ are birational, or in our case $V_1 \in \mathcal{M}_L(2, \sigma + \frac{1-c_2}{2}f, \frac{c_2+1}{2})$. Moreover, the Proposition 3.4, in [4] implies that the generic bundles V_1 in (6), are both L' stable and L_0 stable, where $L_0 \in W(\sigma - \frac{3(c_2-1)}{2}f)$. Dualizing (5), we see that for bundles V_1 , which are stable

with respect to both L' and L_0 nontrivial extension V in (5), are L' stable. Moreover, for a fixed L_0 - stable bundles V_1 , we see that

$$\text{Hom}(V_1, \mathcal{O}_X(\frac{c_2 - 1}{2}f)) = H^0(X, V_1^* \otimes \mathcal{O}_X(\frac{c_2 - 1}{2}f)) = 0,$$

$\dim \text{Hom}(V_1, V) = 1$, and all the trivial extensions V in (6), are parametrized by $\mathbb{P}(\text{Ext}^1(\mathcal{O}_X(\frac{c_2 - 1}{2}f), V_1))$. Since all the L_0 stable V_1 's in (5) are parametrized by an open dense subset in

$$\mathbb{P}(\text{Ext}^1(\mathcal{O}_X(\frac{c_2 + 1}{2}f), \mathcal{O}_X(\sigma - c_2f))),$$

all such V 's are parametrized by a smooth rational variety.

5. The third case

We recall the set up of section 2.4. in [2]. Let $c_1(V_1) = F_1$ and $c_1(V_2) = F_2 = F$. Therefore, we have the exact sequences:

$$0 \longrightarrow V_2 \longrightarrow V \longrightarrow \mathcal{O}_X(c_1 - F_2) \otimes I_{Z_2} \longrightarrow 0 \quad (9)$$

$$0 \longrightarrow \mathcal{O}_X(F_1) \longrightarrow V_2 \longrightarrow \mathcal{O}_X(F_2 - F_1) \otimes I_{Z_1} \longrightarrow 0. \quad (10)$$

From the proof of Lemma 1.4 in [2], we have

$$(2F_1 - F_2) \cdot L_1 < 0 < (2F_1 - F_2) \cdot L_2,$$

and so from the second exact sequence

$$c_1(V_2)^2 - 4c_2(V_2) \leq (2F_1 - F_2)^2 < 0.$$

To start with, we remind the Proposition 2.20 from [2].

Proposition 5.1(see [2]) *Fix $F = F_2 = c_1(V_2)$. Let $\eta = (3F - 2c_1)$, and let*

$$d_\eta^*(c_1, c_2) = -\frac{2(c_2 - c_1^2)}{3} + 3 + \frac{(2F_1 - F_2)^2}{4} + \frac{(2F_1 - F_2) \cdot K_X}{2} + \frac{\eta^2}{12} + \frac{\eta \cdot K_X}{2}.$$

Then,

$$\#(\text{moduli of } V) \leq (6c_2 - 2c_1^2 - 8) + d_\eta^*(c_1, c_2).$$

Lemma 5.2 *Put $\eta = (3F - 2c_1)$ If $c_1 = \sigma$, then $d_\eta^*(c_1, c_2) < 0$, unless possibly either of the following:*

$$\eta = \sigma - \frac{3c_2}{2}f \text{ or } \eta = \sigma - \frac{3c_2 - 3}{2}f.$$

Proof. Put $(2F_1 - F_2) = x_1\sigma - y_1f$ and $\eta = x_2\sigma - y_2f$. From the same

argument as in the proof of Lemma 2.21 in [2], x_i and y_i must have the same sign and neither of them can be zero. By the above proposition,

$$d_\eta^*(c_1, c_2) = -\frac{2(c_2 - c_1^2)}{3} + 3 + d_1 + d_2,$$

where

$$d_1 = \frac{(2F_1 - F_2)^2}{4} + \frac{(2F_1 - F_2) \cdot K_X}{2} = \frac{-x_1(ex_1 - 2e + 4) - 2y_1(x_1 - 2)}{4},$$

$$d_2 = \frac{\eta^2}{12} + \frac{\eta \cdot K_X}{2} = \frac{-x_2(ex_2 - 6e + 12) - 2y_2(x_2 - 6)}{12}.$$

Also, from the proof of the Lemma 2.21 in [2] we know that

$$d_1 - \frac{3c_2 - c_1^2}{3} \leq \frac{\eta^2}{12} - 1.$$

Since $\eta = (3F - 2c_1) = x_2\sigma - y_2f$, it follows

$$F = \frac{x_2 + 2}{3}\sigma - \frac{y_2}{3}f, \quad x_2 \equiv 1[3] \quad \text{and} \quad y_2 \equiv 0[3].$$

Let's estimate d_2 . If $x_2 > 0$, then $x_2 = 1$, or $x_2 = 4$ or $x_2 \geq 7$. If $x_2 \geq 7$ then

$$d_2 \leq \frac{-7(e + 12) - 2y_2}{12} = \frac{-7e - 2y_2}{12} - 7 < -7,$$

and so

$$d_\eta^*(c_1, c_2) < 0.$$

If $x_2 = 4$, then

$$d_2 \leq \frac{-4(-2e + 12) + 4y_2}{12} = \frac{8e + 4y_2}{12} - 7 < -7,$$

since $-4(3c_2 - c_1^2) \leq \eta^2 = -16e - 8y_2 \implies$

$$\frac{3c_2 - c_1^2}{3} \geq \frac{8e + 4y_2}{12}.$$

Since

$$d_2 = \frac{-4(-2e + 12) + 4y_2}{12} = \frac{8e + 4y_2}{12} - 7 = \frac{\eta^2}{12} - 7 < -7,$$

we get

$$d_\eta^*(c_1, c_2) < 0.$$

Let $x_2 = 1$; then $d_2 = \frac{(5e+10y_2)}{12} - 1$. According to the initial hypothesis, W^η

is the only wall of type $(3, c_1, c_2)$ separating L_1 and L_2 . Since V is L_1 stable, V is L_0 semistable by Theorem 1.6 and Remark 1.10 in [1], then

$$d_2 = \frac{5e + y_2}{12} - 1.$$

As in the proof of Theorem 3.1 in [1], we have

$$r_{L_0} < e + \frac{3(c_2 + 1)}{2},$$

and from the fact that $L_0\eta = 0$, it follows $r_{L_0} = e + y_2$; thus $y_2 < \frac{3c_2+3}{2}$.

Since $y_2 \equiv 0[3] \implies 2y_2 \equiv 0[3] \implies 2y_2 < 3c_2 + 3 \implies 2y_2 \leq 3c_2$.

Note that if $x_1 \neq 1$, then $d_1 \leq -2$, and so $d_\eta^*(c_1, c_2) < 0$. If $x_1 = 1$, then

$$d_1 - \frac{(3c_2 - c_1^2)}{3} \leq \frac{\eta^2}{12} - 1,$$

thus:

$$\begin{aligned} d_\eta^*(c_1, c_2) &= -\frac{(c_2 - c_1^2)}{3} + 3 + \left(\frac{\eta^2}{12} - 1\right) + \left(\frac{(5e + 10y_2)}{12} - 1\right) \leq \\ &\leq \frac{-12c_2 + 12 + 8y_2}{12} = \frac{-3c_2 + 3 + 2y_2}{3} \leq \frac{-3c_2 + 3 - 3c_2}{3} = 1. \end{aligned}$$

Thus,

$$d_\eta^*(c_1, c_2) = 1 \iff x_2 = 1 \text{ and } y_2 = 3c_2/2,$$

$$d_\eta^*(c_1, c_2) = 0 \iff x_2 = 1 \text{ and } y_2 = (3c_2 - 3)/2.$$

For $x_2 < 0$, we always obtain $d_\eta^*(c_1, c_2) < 0$.

Remark 5.3 Let $c_1 = \sigma$ and $\eta = \sigma - \frac{3c_2}{2}f$. Since $\eta = 3F - 2c_1$, it follows

$$c_1(V_2) = F = \sigma - \frac{c_2}{2}f.$$

If $L_0 \in W^\eta$, then V is L_0 semistable; that implies

$$\frac{c_1(V_2)L_0}{2} = \frac{c_1L_0}{3},$$

and thus

$$r_{L_0} = e + \frac{3c_2}{2}.$$

By the Lemma 1.10 in [4], it follows:

$$e + \frac{3c_2}{2} = r_{L_0} \leq 2c_2(V_2) + e + \frac{c_2}{2}.$$

Therefore,

$$\frac{c_2}{2} \leq c_2(V_2),$$

and since from the first exact sequence $c_2(V_2) + l(Z_2) = \frac{c_2}{2}$, it follows

$$c_2(V_2) = \frac{c_2}{2} \text{ and } Z_2 = \emptyset.$$

As in the remark, we verify that V_2 sits in the exact sequence

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2 f) \longrightarrow V_2 \longrightarrow \mathcal{O}_X\left(\frac{c_2}{2} f\right),$$

and V sits in the exact sequence

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2 f) \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2}{2} f\right) \oplus \mathcal{O}_X\left(\frac{c_2}{2} f\right).$$

Remark 5.4 Let $c_1 = \sigma$ and $\eta = \sigma - \frac{3c_2-3}{2}f$. Since $\eta = 3F - 2c_1$, we obtain $c_1(V_2) = F = F_2\sigma - \frac{c_2-1}{2}f$. From the above proposition we have $d_\eta^*(c_1, c_2) \leq 0$. If $d_\eta^*(c_1, c_2) = 0$, then

$$d_1 - \frac{(3c_2 - c^2 - 1)}{3} = \frac{\eta^2}{12} - 1 = \frac{-(e + 3c_2 - 3)}{12} - 1.$$

On the other hand,

$$d_1 = \frac{e + 2y_1}{4} - 1 \text{ since } x_1 = 1 \text{ in this case.}$$

So, using this equalities we obtain $y_1 = \frac{3c_2+1}{2}$.

Since $2F_1 - F_2 = x_1\sigma - y_1$, it results $F_1 = \sigma - c_2 f$. From the first exact sequence we have

$$c_2(V_2) = \frac{1 + c_2}{2} + l(Z_1),$$

and from the second exact sequence

$$c_2(V_2) + l(Z_2) = \frac{c_2 + 1}{2}$$

it follows

$$c_2(V_2) = \frac{c_2 + 1}{2} \text{ and } Z_1 = Z_2 = \emptyset.$$

We conclude that the two exact sequences in (9) and (10) are:

$$0 \longrightarrow V_2 \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2 - 1}{2} f\right) \longrightarrow 0.$$

$$0 \longrightarrow \mathcal{O}(\sigma - c_2 f) \longrightarrow V_2 \longrightarrow \mathcal{O}_X\left(\frac{c_2 + 1}{2} f\right) \longrightarrow 0.$$

So we have:

$$0 \longrightarrow \mathcal{O}(\sigma - c_2 f) \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2 - 1}{2} f\right) \oplus \mathcal{O}_X\left(\frac{c_2 + 1}{2} f\right) \longrightarrow 0.$$

6. The fourth case

We begin this section, reminding the hypothesis from [2]-2.5. Let $F_1 = c_1(V_1) = F$ and $F_2 = c_1(V_2)$. The rank-3 vector bundle satisfying case d is sitting in the exact sequence:

$$0 \longrightarrow \mathcal{O}_X(F_1) \longrightarrow V \longrightarrow V/\mathcal{O}_X(F_1) \longrightarrow 0,$$

where $V/\mathcal{O}_X(F_1)$ is coming from the exact sequence:

$$0 \longrightarrow V_2/\mathcal{O}_X(F_1) \longrightarrow V/\mathcal{O}_X(F_1) \longrightarrow \mathcal{O}_X(c_1 - F_2) \otimes I_Z \longrightarrow 0,$$

with the remark from the proof of the Lemma 1.4 that:

$$(2F_2 - F_1 - c_1) \cdot L_1 < 0 < (2F_2 - F_1 - c_1) \cdot L_2.$$

Dualizing the second exact sequence it follows:

$$0 \longrightarrow [V/\mathcal{O}_X(F_1)]^* \longrightarrow V^* \longrightarrow \mathcal{O}_X(-F_1) \otimes I_{Z_2} \longrightarrow 0$$

$$0 \longrightarrow \mathcal{O}_X(F_2 - c_1) \longrightarrow [V/\mathcal{O}_X(F_1)]^* \longrightarrow \mathcal{O}_X(F_1 - F_2) \otimes I_{Z_1} \longrightarrow 0.$$

Remark that V^* is L_1 -stable, but L_2 unstable and

$$2(F_2 - c_1) - c_1([V/\mathcal{O}_X(F_1)]^*) = (2F_2 - F_1 - c_1).$$

From the subsection d of [2] we know that: $\#(\text{moduli of } V) = \#(\text{moduli of } V^*) \leq (6c_2 - 2c_1^2 - 8) + d_\eta^*(-c_1, c_2)$, where $\eta = 3c_1([V/\mathcal{O}_X(F_1)]^*) - 2c_1(V^*) = (3F_1 - c_1)$ and

$$d_\eta^*(-c_1, c_2) = -\frac{2(3c_2 - c_1^2)}{3} + 3 + \frac{(2F_2 - F_1 - c_1)^2}{4} + \frac{(2F_2 - F_1 - c_1) \cdot K_X}{2} + \frac{\eta^2}{12} + \frac{\eta \cdot K_X}{2}.$$

Lemma 6.1 *Put $\eta = 3F_1 - c_1$. If $c_1 = \sigma$, then $d_\eta^*(-c_1, c_2) < 0$ unless $\eta = 2\sigma - 3c_2f$.*

Proof. The proof is analogue as the proof of Lemma 5.2. Put $2F_2 - F_1 - c_1 = x_1\sigma - y_1f$ and $\eta = 3F_1 - c_1 = x_2\sigma - y_2f$, where x_i, y_i have the same sign and all of them are not 0 (from the same argument as in the previous lemmas).

If $c_1 = \sigma$, then

$$F_1 = \frac{x_2 + 1}{3}\sigma - \frac{y_2}{3}f,$$

and so

$$x_2 \equiv 2[3] \text{ and } y_2 \equiv 0[3].$$

Remember that $3c_1 - c_1^2 > 0$. Let

$$d_1 = \frac{(2F_2 - F_1 - c_1)^2}{4} + \frac{(2F_2 - F_1 - c_1) \cdot K_X}{2} = \frac{-x_1(ex_1 - 2e + 4) - 2y_1(x_1 - 2)}{4}$$

and

$$d_2 = \frac{\eta^2}{12} + \frac{\eta \cdot K_X}{2} = \frac{-x_2(ex_2 - 6e + 12) - 2y_2(x_2 - 6)}{4}.$$

From the proof of Lemma 2.21 in [2] we know that:

$$d_1 - \frac{3c_2 - c_1^2}{3} < \frac{\eta^2}{12} - 1.$$

Let's evaluate the expression of d_2 .

If $x_2 > 2$, then $x_2 = 5$ or $x_2 \geq 8$ and $y_2 \geq 3$

If $x_2 \geq 8$, then

$$d_2 \leq \frac{-8(2e + 12) - 4y_2}{12} = \frac{-4e}{3} - \frac{y_2}{3} - 8 < -8.$$

If $x_2 = 5$, then

$$d_2 = \frac{-5(-e + 12) + 2y_2}{12} = \frac{5e + 2y_2}{12} - 5.$$

Since

$$\begin{aligned} -4(3c_2 - c_1^2) \leq \eta^2 = 25e - 10y_2 &\iff \\ \frac{4(3c_2 - c_1^2)}{5} \geq 5e + 2y_2, \end{aligned}$$

and so

$$d_2 < \frac{(3c_2 - c_1^2)}{15} - 5.$$

It follows that:

$$d_\eta^*(-c_1, c_2) \leq -\frac{(3c_2 - c_1^2)}{3} + 3 + \left(\frac{\eta^2}{12} - 1\right) + \frac{(3c_2 - c_1^2)}{12} - 5 \leq -3.$$

If $x_2 = 2$, then $d_2 = \frac{2e+2y_2}{3} - 2$. Since V is L_0 semistable, we get $L_0 \cdot \eta = 0$ and so $r_{L_0} = e + \frac{y_2}{2}$. As in the proof of Theorem 3.1 in [4], we obtain $r_{L_0} < e + \frac{3(c_2+1)}{2}$, and so

$$y_2 < 3(c_2 + 1).$$

Since $y_2 \equiv 0[3]$, it follows $y_2 \leq 3c_2$.

If $x_1 \neq 1$ then $d_1 \leq -2$ and so $d_\eta^*(-c_1, c_2) < 0$.

If $x_1 = 1$, then $d_1 - \frac{3c_2 - c_1^2}{3} \leq \frac{\eta^2}{12} - 1 = -\frac{e+y_2}{3} - 1$. Therefore:

$$\begin{aligned} d_\eta^*(-c_1, c_2) &\leq -\frac{(3c_2 - c_1^2)}{3} + 3 + \left(-\frac{e + y_2}{3} - 1\right) + \left(\frac{2e + 2y_2}{3} - 2\right) \\ &= -\frac{(3c_2 - c_1^2)}{3} + \frac{e + y_2}{3} \\ &= \frac{-3c_2 - e + e + y_2}{3} \leq \frac{-3c_2 + 3c_2}{3} = 0. \end{aligned}$$

Thus $d_\eta^*(-c_1, c_2) < 0$ unless $y_2 = 3c_2$. Let $x_2 < 0$ and $e \geq 1$.

If $x_2 \leq -7$, then

$$d_2 \leq \frac{7(-13e + 12) + 26y_2}{12} < -7,$$

and so $d_\eta^*(-c_1, c_2) < 0$.

If $x_2 = -4$, then

$$d_2 = \frac{4(-10e + 12) + 20y_2}{12} < -4,$$

and thus $d_\eta^*(-c_1, c_2) < 0$.

If $x_2 = -1$, then

$$d_2 = \frac{(-7e + 12) + 14y_2}{12} \leq -3,$$

and so $d_\eta^*(-c_1, c_2) < 0$.

If $e = 0$, then

$$d_2 = \frac{-12x_2 - 2y_2(x_2 - 6)}{12},$$

and we get $d_\eta^*(-c_1, c_2) \leq -1$.

Remark 6.2 Let $c_1 = \sigma$ and $\eta = 2\sigma - 3c_2f$. Since $\eta = 3F_1 - c_1$, we get $F = \sigma - c_2f$; thus $c_1(V/\mathcal{O}_X(F_1)) = c_1(V) - F_1 = c_2f$, and $c_2(V/\mathcal{O}_X(F_1)) = 0$. As in Remark 3.3, we get that $V/\mathcal{O}_X(F_1)$ sit in the exact sequence:

$$0 \longrightarrow \mathcal{O}_X\left(\frac{c_2}{2}f\right) \longrightarrow V/\mathcal{O}_X(F_1) \longrightarrow \mathcal{O}_X\left(\frac{c_2}{2}f\right) \longrightarrow 0,$$

and V is given by:

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2f) \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2}{2}f\right) \oplus \mathcal{O}_X\left(\frac{c_2}{2}f\right) \longrightarrow 0.$$

7. Moduli spaces of rank 3 vector bundles with $c_1 = \sigma$

The main results of this paper are given by the next theorems:

Theorem 7.1 *Let c_2 an even integer. If $c_1 = \sigma$ then:*

1. For $c_2 < 2$, all the moduli spaces $\mathcal{M}_L(3, \sigma, c_2)$ are empty.
2. For $c_2 \geq 2$ and $r_L \geq e + \frac{3c_2}{2}$ or $r_L \leq \frac{2}{3c_2}$, the moduli space $\mathcal{M}_L(3, \sigma, c_2)$ is empty.
3. For $c_2 \geq 2$ and $\frac{2}{3c_2} < r_L < e + \frac{3c_2}{2}$, then the moduli space $\mathcal{M}_L(3, \sigma, c_2)$ is irreducible, smooth and unirational with dimension $(6c_2 + 2e - 8)$. Moreover, in this case a generic bundle in $\mathcal{M}_L(3, \sigma, c_2)$ sits in an exact sequence:

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2f) \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2f}{2}\right) \oplus \mathcal{O}_X\left(\frac{c_2f}{2}\right) \longrightarrow 0 \quad (11)$$

Proof. The idea of the proof is the same as in the case of Theorem 3.2 in [2]. We remind that $\mathcal{M}_H(3, \sigma, c_2)$ is empty for $r_H \gg 0$. If $\mathcal{M}_L(3, \sigma, c_2)$ is nonempty for some L , then all the bundles in $\mathcal{M}_L(3, \sigma, c_2)$ are not H stable. Therefore, the generic bundles in an irreducible component of $\mathcal{M}_L(3, \sigma, c_2)$ must come from some nonempty wall W^ξ as in the section 2. Since c_2 is even, then we have the next cases:

1. $\xi = 2\sigma - 3c_2f$ or $\xi = \sigma - \frac{3c_2}{2}f$.
2. $e = 0$ and $\xi = -3c_2\sigma + 2f$.
3. If $c_2 < 2$ and c_2 even, it follows $c_2 \leq 0$ and so all ξ are effective.

This a contradiction since $\xi \cdot L_0 < 0$ for all ample divisors L_0 .

If $e \geq 1$, since $r_L > e \geq 1$ and $c_2 \geq 2$, then we have always $r_L > \frac{2}{3c_2}$. If $r_L \geq e + \frac{3c_2}{2}$, then this is equivalent with $L \cdot (2\sigma - 3c_2f) \geq 0$. As in the first part of the proof we get that $\mathcal{M}_L(3, \sigma, c_2)$ is empty.

If $r_L < e + \frac{3c_2}{2}$. We denote by L' a line bundle contained in the chamber whose upper wall is $W^{2\sigma - 3c_2f}$.

From the main lemmas (3.2-section3, 4.1-section 4, 5.2-section 5, and 6.1-section 6) of the above sections, we know that if W^ξ separates L' and L , then the number of the moduli of bundles coming from W^ξ is less than $(6c_2 + 2e - 8)$. Therefore, $\mathcal{M}_{L'}(3, \sigma, c_2)$ can be identified with $\mathcal{M}_L(3, \sigma, c_2)$ just by removing some subschemes of codimension at least one. Remark 3.3 implies that $\mathcal{M}_{L'}(3, \sigma, c_2)$ is irreducible, and unirational, and a generic bundle in $\mathcal{M}_{L'}(3, \sigma, c_2)$ sits in (11). Thus for the conclusion for the moduli spaces $\mathcal{M}_L(3, \sigma, c_2)$ follows. If $e = 0$, then as in the above discussion $\mathcal{M}_L(3, \sigma, c_2)$ is empty if $r_L \geq \frac{3c_2}{2}$ or $r_L \leq \frac{2}{3c_2}$. If $\frac{2}{3c_2} < r_L < \frac{3c_2}{2}$, then again we can show the conclusion as we did in case $e \geq 1$.

The proof of the next result is a straightforward version of the above theorem.

Theorem 7.2 *Let c_2 an odd integer. If $c_1 = \sigma$ then:*

1. For $c_2 < 3$, then all the moduli spaces $\mathcal{M}_L(3, \sigma, c_2)$ are empty.
2. For $c_2 \geq 3$ and $r_L \geq e + \frac{3c_2}{2}$ or $r_L \leq \frac{2}{3c_2}$, the moduli space $\mathcal{M}_L(3, \sigma, c_2)$ is empty.
3. For $c_2 \geq 3$ and $\frac{2}{3c_2} < r_L < e + \frac{3c_2}{2}$, then the moduli space $\mathcal{M}_L(3, \sigma, c_2)$ is irreducible, smooth and rational with dimension $(6c_2 + 2e - 8)$. Moreover, in this case a generic bundle in $\mathcal{M}_L(3, \sigma, c_2)$ sits in an exact sequence:

$$0 \longrightarrow \mathcal{O}_X(\sigma - c_2f) \longrightarrow V \longrightarrow \mathcal{O}_X\left(\frac{c_2 - 1}{2}f\right) \oplus \mathcal{O}_X\left(\frac{c_2 + 1}{2}f\right) \longrightarrow 0.$$

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